

AMENDMENT TRANSMITTAL

PATENT

Application No.: 10/002,893
Filing Date: November 14, 2001
First Named Inventor Song-Hua Shi
Examiner's Name: Van, Quang T.
Art Unit: 3742
Attorney Docket No.: 42390.P13092

An Amendment After Final Action (37 CFR 1.116) is attached and applicant(s) request expedited action.

Charge any fee not covered by any check submitted to Deposit Account No. 02-2666.

Applicant(s) hereby request and authorize the U.S. Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 CFR 1.16 and 1.17, for any concurrent or future reply to Deposit Account No. 02-2666.

Applicant(s) claim small entity status (37 CFR 1.27).

ATTACHMENTS

Preliminary Amendment
 Amendment/Response with respect to _____
 Amendment/Response After Final Action (37 CFR 1.116) (reminder: consider filing a Notice of Appeal)
 Notice of Appeal
 RCE (Request for Continued Examination)
 Supplemental Declaration
 Terminal Disclaimer (reminder: if executed by an attorney, the attorney must be properly of record)
 Information Disclosure Statement (IDS)
 Copies of IDS citations
 Petition for Extension of Time
 Fee Transmittal Document (that includes a fee calculation based on the type and number of claims) No Fee
 Cross-Reference to Related Application(s)
 Certified Copy of Priority Document
 Other: _____
 Other: _____
 Check(s)
 Postcard (Return Receipt)

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MAY 07 2004

SUBMITTED BY:

TECHNOLOGY CENTER R3700

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

TYPED OR PRINTED NAME: Mark A. Kupanoff

SIGNATURE: Mark A. Kupanoff

REG. NO.: 55,349

DATE: April 30, 2004

ADDRESS: 12400 Wilshire Boulevard, Seventh Floor

Los Angeles, California 90025

TELEPHONE NO.: (408) 720-8300

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (if applicable)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop: AF, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450
on April 30, 2004

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

Dianne Neathery

Signature

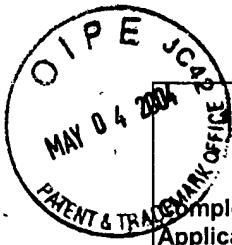
April 30, 2004

Date

Express Mail Label No. (if applicable): N/A

(10/14/03)

Send to: COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, Virginia 22313-1450



FEE TRANSMITTAL FOR FY 2004

(FY 2004 Begins 10/01/2003)

TOTAL AMOUNT OF PAYMENT (\$) (No fee)

Complete if Known:

Application No. 10/002,893
 Filing Date November 14, 2001
 First Name Inv. ntor Song-Hua Shi
 Examiner Name Van, Quang T.
 Art Unit 3742
 Attorney Docket No. 42390.P13092

Applicant claims small entity status. See 37 CFR 1.27.

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other X None

Deposit Account

Deposit Account Number : 02-2666

Deposit Account Name: _____

The Director is Authorized to do the following with respect to the above-identified Deposit Account:

Charge fee(s) indicated below.

Credit any overpayments.

Charge any additional fees during the pendency of this application.

Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged.

Charge fee(s) indicated below except for the filing fee.

FEE CALCULATION

1. BASIC FILING FEE

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| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | <u>TECHNOLOGY CENTER R3700</u> | <u>Fee Paid</u> |
|---------------------|---------------------|------------------------|--------------------------------|------------------------------------|
| Fee | Fee | Fee | Fee | |
| Code | (\$) | Code | (\$) | |
| 1001 | 770 | 2001 | 385 | Utility application filing fee |
| 1002 | 340 | 2002 | 170 | Design application filing fee |
| 1003 | 530 | 2003 | 265 | Plant filing fee |
| 1004 | 770 | 2004 | 385 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional application filing fee |

SUBTOTAL (1) \$.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|-----------------------------|---------------------|-----------------------|-----------------|
| Total Claims <u>16</u> | <u>- 25** = 0</u> | <u>X 18.00</u> | <u>= .00</u> |
| Independent Claims <u>3</u> | <u>- 4** = 0</u> | <u>X .00</u> | <u>= .00</u> |
| Multiple Dependent | | | |

**Or number previously paid, if greater; For Reissues, see below.

| <u>Large Entity</u> | <u>Small Entity</u> | <u>Fee Description</u> | | |
|---------------------|---------------------|------------------------|------|---|
| Fee | Fee | Fee | | |
| Code | (\$) | Code | (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **R issue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **R issue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Larg Entity</u> | <u>Small Entity</u> | <u>Fee</u> | <u>Fee</u> | <u>Fee Description</u> | <u>Fee Paid</u> |
|----------------------------|---------------------|------------|------------|--|-----------------|
| Code | Code | (\$) | (\$) | | |
| 1051 | 2051 | 130 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 2052 | 50 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 1053 | 130 | 130 | Non-English specification | |
| 1812 | 1812 | 2,520 | 2,520 | For filing a request for ex parte reexamination | |
| 1813 | 1813 | 8,800 | 8,800 | Request for inter parties reexamination | |
| 1804 | 1804 | 920* | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1805 | 1,840* | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 2251 | 110 | 55 | Extension for reply within first month | |
| 1252 | 2252 | 420 | 210 | Extension for reply within second month | |
| 1253 | 2253 | 950 | 475 | Extension for reply within third month | |
| 1254 | 2254 | 1,480 | 740 | Extension for reply within fourth month | |
| 1255 | 2255 | 2,010 | 1,005 | Extension for reply within fifth month | |
| 1401 | 2401 | 330 | 165 | Notice of Appeal | |
| 1402 | 2402 | 330 | 165 | Filing a brief in support of an appeal | |
| 1403 | 2403 | 290 | 145 | Request for oral hearing | |
| 1451 | 1451 | 1,510 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 2452 | 110 | 55 | Petition to revive – unavoidable | |
| 1453 | 2453 | 1,330 | 665 | Petition to revive - unintentional | |
| 1501 | 2501 | 1,330 | 665 | Utility issue fee (or reissue) | |
| 1502 | 2502 | 480 | 240 | Design issue fee | |
| 1503 | 2503 | 640 | 320 | Plant issue fee | |
| 1460 | 1460 | 130 | 130 | Petitions to the Commissioner | |
| 1807 | 1807 | 50 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 1806 | 180 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 8021 | 40 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 2809 | 770 | 385 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 1814 | 2814 | 110 | 55 | Statutory Disclaimer | |
| 1810 | 2810 | 770 | 385 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 1801 | 2801 | 770 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 1802 | 900 | 900 | Request for expedited examination of a design application | |
| 1504 | 1504 | 300 | 300 | Publication fee for early, voluntary, or normal pub. | |
| 1505 | 1505 | 300 | 300 | Publication fee for republication | |
| 1803 | 1803 | 130 | 130 | Request for voluntary publication or republication | |
| 1808 | 1808 | 130 | 130 | Processing fee under 37 CFR 1.17(i) (except provisionals) | |
| 1454 | 1454 | 1,330 | 1,330 | Acceptance of unintentionally delayed claim for priority | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |
| SUBTOTAL (3) \$.00 | | | | | |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Mark A. Kupanoff

Signature: Mark A. Kupanoff Date: April 30, 2004

Reg. Number: 55,349 Telephone Number: (408) 720-8300

Send to : Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Attorney's Docket No.: 42390.P13092
Confirmation No.: 5703

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Song-Hua Shi et al.)
Application No: 10/002,893) Examiner: Van, Quang T.
Filed: November 14, 2001) Art Unit: 3742
For: GUIDED HEATING APPARATUS)
AND METHOD FOR USING THE SAME)

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MAY 07 2004

TECHNOLOGY CENTER R3700

AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION

Dear Sir:

In response to the Final Office Action dated February 2, 2004, Applicant respectfully requests that the above-identified application be amended as follows and that the following remarks be considered:

FIRST-CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail with sufficient postage in an envelope addressed to: Mail Stop: AF, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on:

April 30, 2004

Date of Deposit

Dianne Neathery

Name of Person Mailing Correspondence

Signature

April 30, 2004

Date

Inventor(s): Song-Hua Shi, et al.
Application No.: 10/002,893

- 1/8 -

Examiner: Van, Quang T.
Art Unit: 3742